10/587953 IAP11 Rec'd PCT/PTO 02 AUG 2006<sup>1</sup>

#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q96287

Michael ROBERTS

PCT/JP2005/002023 -filed February 10, 2005

Appln. No.: Not assigned yet

Confirmation No.: Not assigned yet

Group Art Unit: Not assigned yet

Filed: August 2, 2006

Examiner: Not assigned yet

For:

OPTIMIZATION PROCESS FOR RADIO RESOURCES ALLOCATED TO MBMS

SERVICES AND MOBILE TERMINAL FOR RECEIVING MBMS SERVICES

### <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. Japanese Patent Application Publication No. 2004-023668, published January 22, 2004, along with English-Language Abstract;
- 2. Japanese Patent Application Publication No. 2001-203634, published July 27, 2001, along with English-Language Abstract;
- 3. Japanese Patent Application Publication No. 2004-040661, published February 5, 2004, along with English-Language Abstract;
  - 4. Japanese Patent Application Publication No. 2004-32335, published January 29, 2004;

## INFORMATION DISCLOSURE STATEMENT U.S. Appln. to Michael ROBERTS

- 5. Japanese Patent Application Publication No. 2002-368684, published December 20, 2002;
- 6. 3GPP Group Technical Specification "Technical Realization of Cell Broadcast Service (CBS)", TS 23.041, July 18, 2006;
- 7. 3GPP Group Technical Specification "Multimedia Broadcast/Multicast Service (MBMS); Stage 1", TS 22.146 V5.2.0, July 18, 2006.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents. Applicant encloses herewith a copy of an International Search Report citing documents 1-5, indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

### 10/587953 IAP11 Rec'd PCT/PTO 02 AUG 2006

Respectfully submitted,

Howard L. Bernstein Registration No. 25,665

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Date: August 2, 2006

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40/587955 IAP11 Rec'd PCT/PT0 02 AUG 2006' MODIFIED PTO/SB/08 A & B (08-03)

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Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number Not assigned yet

Confirmation Number Not assigned yet

Filing Date August 2, 2006

First Named Inventor Michael ROBERTS

Art Unit Not assigned yet

Examiner Name Not assigned yet

Attorney Docket Number Q96287

			U.S. I	PATENT DOCUME	CNTS
Examiner Initials*	Cite No.1	Document Number		Publication Date	
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Docume
		US			

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		JP	2004-023668	Α	01-22-2004		
		JP	2001-203634	Α	07-27-2001		
		JP	2004-040661	Α	02-05-2004		
		JP	2004-32335	Α	01-29-2004		
		JP	2002-368684	Α	12-20-2002		

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Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.